

HEDGE FUND PORTFOLIOS TRANSFER OF OWNERSHIP INSTRUCTION FORM (OUTRIGHT CESSION)

BEFORE YOU TRANSACT

- 1.1 H4 Collective Investments (RF) (Pty) Ltd ("H4") is registered as a collective investment scheme manager under the Collective Investment Schemes Control Act, No. 45 of 2002.
- 1.2 H4 has appointed Peregrine Capital (Pty) Ltd ("Peregrine Capital") as the discretionary hedge fund manager of the Portfolios in section 3 ("the Portfolios").
- 1.3 All documents and forms are available from H4 on request by you, or at www.peregrinecapital.co.za.

MAKE AN INFORMED DECISION

- 1.4 Read the latest **Minimum Disclosure Document(s)** and the **Key Investor Information Document(s)** to ensure that you have chosen the correct Portfolio(s) to suit your needs.
- 1.5 Consider getting financial advice
 - Neither H4 nor Peregrine Capital provide financial advice. However, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA")
 - If you have an IFA, please complete the **Financial Adviser Form**

IMPORTANT INFORMATION

- 1.6 Transfers will be processed to the same Portfolio(s).
- 1.7 This transaction may result in a Capital Gains Tax (CGT) event for the Transferor.
- 1.8 Please complete Section 3.3 if you have a monthly debit order in place as it may be affected by this transaction.
- 1.9 If you wish to change your debit order, please complete the **Change of Debit Order Instruction Form**.

COMPLETE THE FORM & AGREE TO THE Ts & Cs

- 1.10 Read and agree to the latest **H4 Hedge Fund Portfolios Terms and Conditions** of the Investor's investment.
- 1.11 Complete all the information on this form to ensure that there is no delay in processing this instruction.
- 1.12 Please initial next to any amendments that you have made on this form.

SEND THE DOCUMENTS

- 1.13 Send the documents listed below to hedge@h4ci.co.za
 - Completed transfer of ownership instruction form
 - If the Transferee is not an existing investor in any Peregrine Capital H4 Portfolios, then the Transferee will first have to complete an **Application Form** for the applicable Portfolio(s) in which the Transferor is invested in, and which is the subject of this transfer of ownership instruction
 - If the Transferee wishes to switch Portfolios, then the Transferee will have to complete a **Switch Instruction Form**
 - In certain instances H4 may contact you requesting additional documents
- 1.14 Please refer to the relevant section in the **H4 Hedge Fund Portfolios Terms and Conditions** for the cut-off time for the submission of this transfer of ownership instruction form.
- 1.15 If you have any questions, please contact H4 on **086 000 7993** or hedge@h4ci.co.za.

1. Transferor/current Investor information

Investor number			
Name & Surname/Entity name			
Identity/passport/registration number			
Contact details	Full name		
	Mobile		Work
	Email address		

2. Transferee/recipient of participatory interests information

Investor number	
Name & Surname/Entity name	
Identity/passport/registration number	

Contact details	Full name		
	Mobile		Work
	Email address		

3. Transfer of ownership of Portfolio(s)

Indicate the Portfolio(s) you would like to transfer ownership of to the Transferee, and the amount to be transferred

3.1 Qualified Investor Hedge Funds

Portfolios	Withdrawal date First calendar day of the month	Withdrawal Amount/ Percentage/Number of Participatory Interests
Peregrine Capital High Growth H4 QI Hedge Fund		
Peregrine Capital Pure Hedge H4 QI Hedge Fund		
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund		

3.2 Retail Hedge Funds

Portfolios	Withdrawal date	Withdrawal Amount/ Percentage/Number of Participatory Interests
Peregrine Capital High Growth H4 Retail Hedge Fund		
Peregrine Capital Pure Hedge H4 Retail Hedge Fund		

3.3 Debit order instructions (if applicable)

Your monthly debit order may be affected by your transfer of ownership instruction. How would you like your existing debit order to be treated after this transfer of ownership?

Debit order instruction to remain unchanged

Change or cancel debit order instruction, please complete the [Debit Order Instruction Form](#)

4. Transferor warranty

By signing below, I/we, the Transferor (or for and on behalf of the Transferor) represent and warrant that:

- 4.1 the participatory interests held by the Transferor which are the subject of this transfer of ownership instruction, are not subject to any pledge or otherwise encumbered in any fashion*;
- 4.2 my/our estate is not insolvent and has not been sequestrated;

5. Declaration

By signing below, we, the Transferor and Transferee (or for and on behalf of the Transferor and/or the Transferee), hereby confirm that:

- 5.1 we have been free to obtain such independent legal, financial, tax and/or other professional advice in relation to this instruction and have taken, or otherwise dispensed with, such advice;
- 5.2 we understand that H4 may be legally obliged to disclose the information contained in this form to the South African Revenue Services, and do not object to this disclosure;
- 5.3 we agree to compensate H4 and its employees, agents, officers and directors for all liability, loss, damage and expense that results directly or indirectly from H4 having acted on any information provided by us or on our behalf, in or pursuant to this instruction.

By signing below, we, the Transferor and Transferee (or for and on behalf of the Transferor and/or the Transferee) represent and warrant that:

- 5.4 if this form is signed in a representative capacity, we have the necessary authority to do so and that this transaction is within our powers;

- 5.5 the Transferor and the Transferee have full contractual capacity to enter into and conclude this transaction;
- 5.6 all information provided in this form and all other documents signed by us in connection with this form, whether in our handwriting or not, is true and correct;
- 5.7 we are responsible for the accuracy and completeness of all answers, statements or other information provided by us or on our behalf;
- 5.8 we have read, understood and agree to the relevant and latest H4 Hedge Fund Portfolios Terms and Conditions, the Key Investor Information Document(s) and the Minimum Disclosure Document(s), which we understand may have changed since the Transferor's original investment;
- 5.9 we have not received advice from H4 or Peregrine Capital regarding this instruction;
- 5.10 we hereby waive any claims against the Portfolio(s), Peregrine Capital, H4 and any of their employees, officers and agents for liability, loss, damage or expense in connection with taxes, penalties or interest levied on the Investor. Any such person may accept the benefit of this waiver at any time in any manner;

TRANSFEROR

1st Signatory					
Name		Signature (or duly authorised representative)		Date	
2st Signatory					
Name		Signature (or duly authorised representative)		Date	

* If the participatory interests are ceded, written consent for the withdrawal transaction/cancellation of the cession must accompany this withdrawal instruction form.

TRANSFEEE

1st Signatory					
Name		Signature (or duly authorised representative)		Date	
2st Signatory					
Name		Signature (or duly authorised representative)		Date	