

## HEDGE FUND PORTFOLIOS SWITCH INSTRUCTION FORM

### BEFORE YOU SWITCH PORTFOLIOS

- 1.1 H4 Collective Investments (RF) (Pty) Ltd ("H4") is registered as a collective investment scheme manager under the Collective Investment Schemes Control Act, No. 45 of 2002.
- 1.2 H4 has appointed Peregrine Capital (Pty) Ltd ("Peregrine Capital") as the discretionary hedge fund manager of the Portfolios in Section 2 ("the Portfolios").
- 1.3 All documents and forms are available from H4 on request by the Investor, or at [www.peregrinecapital.co.za](http://www.peregrinecapital.co.za).

### MAKE AN INFORMED DECISION

- 1.4 Read the latest **Minimum Disclosure Document(s)** and the **Key Investor Information Document(s)** to ensure that you have chosen the correct Portfolio(s) to suit the Investor's needs.
- 1.5 Consider getting financial advice:
  - Neither H4 nor Peregrine Capital provide financial advice. However, we believe in the merits of good independent advice. If you are not comfortable making your own investment decision, please consider using the services of an Independent Financial Adviser ("IFA")
  - If the Investor has an IFA, please complete the **Financial Adviser Form**

### IMPORTANT INFORMATION

- 1.6 This transaction may result in a Capital Gains Tax ("CGT") event.
- 1.7 Should you wish to pay the Investor's IFA a fee on the Portfolio(s) switched to, please complete the **Financial Adviser Form**.
- 1.8 Please complete Section 3 if you have a monthly debit order in place as it may be affected by this transaction.
- 1.9 Should you wish to start or change a debit order, please complete the **Change of Existing Debit Order Instruction Form**.

### COMPLETE THE FORM & AGREE TO THE Ts & Cs

- 1.10 Read and agree to the latest **H4 Hedge Fund Portfolios Terms and Conditions** of the Investor's investment.
- 1.11 Complete all the information on this form to ensure that there is no delay in processing this instruction.
- 1.12 Please initial next to any amendments that you have made on this form.

### SEND THE DOCUMENTS

- 1.13 Send the documents listed below to [hedge@h4ci.co.za](mailto:hedge@h4ci.co.za)
  - Completed switch instruction form
  - Any related forms (e.g. **Financial Adviser Form** or **Change of Existing Debit Order Instruction Form**) and any supporting documents to those forms, if applicable
  - In certain instances H4 may contact you requesting additional documents
- 1.14 Please refer to the relevant section in the **H4 Hedge Fund Portfolios Terms and Conditions** for the cut-off time for the submission of this instruction.
- 1.15 If you have any questions, please contact H4 on **086 000 7993** or [hedge@h4ci.co.za](mailto:hedge@h4ci.co.za).

### WHAT HAPPENS NEXT

- 1.16 H4 will inform you when your switch instruction is approved.

## 1. Investor information

Investor number			
Name & Surname/Entity name			
Identity/passport/registration number			
Contact details	Full name		
	Mobile		Work
	Email address		

## 2. Switch instruction

### Notes:

- The issue date for the subscription of participatory interests in a Qualified Investor Hedge Fund ("QI Hedge Fund") is the first calendar day of the month

- QI Hedge Funds are monthly dealing portfolios and therefore 1 calendar months' notice is required to switch between Portfolio's
- If you are not currently invested in the QI Hedge Fund Portfolio(s) that you wish to switch to, the minimum lump sum investment amounts apply as indicated in the table below. The minimum lump sum investment amount of R1 million for investment in a QI Hedge Fund is a regulatory minimum requirement and cannot be amended or waived by H4
- Please contact H4 should you wish to switch Portfolio(s) and the investment amounts do not meet the minimum amounts indicated in the table below

Portfolios	Lump Sum Investment Amount	Additional Lump Sum Investment Amount
Peregrine Capital High Growth H4 QI Hedge Fund	R 1 000 000	R 50 000
Peregrine Capital Pure Hedge H4 QI Hedge Fund	R 1 000 000	R 50 000
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund	R 1 000 000	R 50 000
Peregrine Capital High Growth H4 Retail Hedge Fund	R25 000	R 5 000
Peregrine Capital Pure Hedge H4 Retail Hedge Fund	R25 000	R 5 000

**Switch from:** Indicate the Portfolio(s) you would like to switch from

Portfolios	Switch Date	Amount
Peregrine Capital High Growth H4 QI Hedge Fund		R
Peregrine Capital Pure Hedge H4 QI Hedge Fund		R
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund		R
Peregrine Capital High Growth H4 Retail Hedge Fund		R
Peregrine Capital Pure Hedge H4 Retail Hedge Fund		R

**Switch to:** Indicate the Portfolio(s) you would like to switch to

Portfolios	Switch Date	Amount
Peregrine Capital High Growth H4 QI Hedge Fund		R
Peregrine Capital Pure Hedge H4 QI Hedge Fund		R
Peregrine Capital Dynamic Alpha H4 QI Hedge Fund		R
Peregrine Capital High Growth H4 Retail Hedge Fund		R
Peregrine Capital Pure Hedge H4 Retail Hedge Fund		R

### 3. Debit order instructions (if applicable)

Your monthly debit order may be affected by this switch instruction. How would you like your existing debit order to be treated after this switch?

Debit order instruction to remain unchanged

Change of cancel debit order instruction, please complete the [Change of Existing Debit Order Instruction Form](#)

### 4. Investor declaration and consent

By signing below, I/we, the Investor (or for and on behalf of the Investor), confirm that:

- 4.1 I/we have been free to obtain such independent legal, financial, tax and/or other professional advice in relation to this instruction and have taken, or otherwise dispensed with, such advice;
- 4.2 I/we understand that H4 may be legally obliged to disclose the information contained in this form to the South African Revenue Services, and do not object to this disclosure;

4.3 I/we agree to compensate H4 and its employees, agents, officers and directors for all liability, loss, damage and expense that results directly or indirectly from H4 having acted on any information provided by me/us or on my/our behalf, in or pursuant to this instruction.

By signing below, I/we, the Investor (or for and on behalf of the Investor) represent and warrant that:

4.4 if this form is signed in a representative capacity, I/we as representative(s) of the Investor have the necessary authority to do so and that this transaction is within my/our powers;

4.5 the Investor has full contractual capacity to enter into and conclude this transaction;

4.6 all information provided in this form and all other documents signed by me/us in connection with this form, whether in my/our handwriting or not, is true and correct;

4.7 I am/we are responsible for the accuracy and completeness of all answers, statements or other information provided by me/us or on my/our behalf;

4.8 I/we have read, understood and agree to the relevant and latest H4 Hedge Fund Portfolios Terms and Conditions, the Key Investor Information Document(s) and the Minimum Disclosure Document(s), which I/we understand may have changed since the Investor's original investment;

4.9 I/we have read and understood the risk profile of the Portfolio(s) and I/we understand that it is my/our obligation to familiarise myself/ourselves with, and accept the risks associated with this investment. I/We further understand that there are no guarantees with regard to my/our capital and the performance of the Portfolio(s);

4.10 The participatory interests in the existing Portfolio(s), which is/are the subject of this switch instruction, are not subject to any pledge or otherwise encumbered in any fashion, save as disclosed in writing to, and acknowledged by, H4\*;

4.11 I/we have not received advice from H4 or Peregrine Capital regarding this instruction.

1st Signatory					
<b>Name</b>		<b>Signature</b> (or duly authorised representative)		<b>Date</b>	

  

2st Signatory					
<b>Name</b>		<b>Signature</b> (or duly authorised representative)		<b>Date</b>	